

# **Doctoral Degree Regulations**

# of the University of Hohenheim for the degree Dr.sc.agr.

Unofficial reading version of the examination regulations from 14 February 2013 (University of Hohenheim Official Communication No. 883 from 14 February 2013) including

- the 1<sup>st</sup> Statute to amend the examination regulations from 13 February 2015 (University of Hohenheim Official Communication No. 1012)
- the 2<sup>nd</sup> Statute from 24 November 2015 (University of Hohenheim Official Communication No. 1076)

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Published by:Faculty of Agricultural Sciences<br/>of the University of Hohenheim<br/>70593 StuttgartEditor:Dean's Office

# University of Hohenheim Doctoral Degree Regulations for the Degree Dr. sc. agr.

from 14 February 2013 including the 1<sup>st</sup> statute to amend the doctoral degree regulations from 13 February 2015 and the 2<sup>nd</sup> statute from 24 November 2015

Unofficial reading version created by the Dean's Office of the Faculty of Agricultural Sciences on 19 February 2015

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# Section 1 Purpose of Doctorate, Examination Requirements

- (1) The doctorate serves as proof of the capability of performing independent scientific work. Based on the doctoral examinations, the University of Hohenheim's Faculty of Agricultural Sciences awards the academic degree of Doctor of Agricultural Sciences - doctor scientiarum agriculturae (Dr.sc.agr.). The doctoral examinations consist of a scientific work (dissertation) and an oral examination (colloquium). Upon the Faculty's decision, the University also awards this degree as an honorary doctorate (see Sec. 19).
- (2) The doctoral work may be completed in German or English. Upon the applicant's request and with the consent of the supervisor, the doctoral committee may allow the doctoral work to be done in whole or in part in another language. In all cases, a summary of the dissertation in German and English must be bound with the dissertation. The request is typically to be

submitted together with the request for acceptance as a doctoral candidate. If all doctoral work is done in another language, this is to be noted on the degree certificate.

(3) The doctoral degree regulations also apply for external doctoral candidates and for the Faculty of Agricultural Sciences' international doctoral programmes. External doctoral candidates are those who work on their dissertation primarily outside of the Faculty of Agricultural Sciences.

#### Section 2 Responsibility, Doctoral Committee, Ombudsperson

- (1) The deciding body for decisions relevant to doctoral work is the doctoral committee and the chairperson of the doctoral committee. The chairperson of the doctoral committee is a professor who is a member of the Faculty of Agricultural Sciences. Additional members of the doctoral committee are five professors from the Faculty of Agricultural Sciences and two members of the Faculty Council who hold doctorates and are members of the scientific service electoral group (*Wahlgruppe des wissenschaftlichen Dienstes*).
- (2) The Dean's Office appoints the chairperson of the doctoral committee as well as a substitute. The other members are appointed by the Faculty Council. When appointing these members, it should be ensured that the Faculty's scientific concentrations are appropriately represented. The Faculty Council also appoints three alternate members including two professors from the Faculty of Agricultural Sciences and one member of the Faculty Council who holds a doctorate and is a member of the scientific service electoral group (*Wahlgruppe des wissenschaftlichen Dienstes*). The term of office is four years. Re-appointment is permitted.
- (3) The doctoral committee appoints an ombudsperson as well as an alternate ombudsperson from among the Faculty's professors. The ombudsperson should be consulted in cases of factual and personal differences of opinion and conflicts that interfere with a trusting, constructive, goal-oriented long-term cooperation and that seem to be unsolvable without assistance to at least one of the affected persons.

#### Section 3 Requirements for Admission to the Doctoral Programme

- (1) Admission to the doctoral programme requires the applicant to have completed an above average Master's or Diplom degree programme at a University or equivalent higher education institution in the Federal Republic of Germany in an agricultural studies programme with an overall or average mark of "good" or better; a lower mark can be accepted if a ranking list shows that the mark was above average. For graduates from universities of applied sciences who completed an agricultural Master's programme, this applies mutatis mutandis. In total, at least four years of standard period of study must be proven. Applicants from other Diplom or Master's study programmes at a university or equivalent higher education institution in the Federal Republic of Germany may be admitted to the doctorate if they have completed these studies with an overall or average note of "good" or better; a lower mark can be accepted if a ranking list shows that the mark was above average. In total, at least four years of standard period of study must be proven. The doctoral committee may add further requirements.
- (2) The doctoral committee may recognize other higher education degrees from the Federal Republic of Germany other than those named in Sec. 3(1) and thereby set further requirements as long as the other requirements set down in Sec. 3(1) are fulfilled. Further requirements could include in particular the completion of a research paper according to the valid examination regulations of the University of Hohenheim for the Bachelor's programme in Agricultural Sciences or subject examinations that correspond in terms of content and scope to the three specialization-specific compulsory modules pursuant to the valid version of the University of Hohenheim's examination regulations for the Master's programme in Agricultural Sciences.

- (3) A degree from a foreign scientific university that meets the requirements in Sec. 3(1) may be recognized by the doctoral committee as equivalent under consideration of the respectively valid guidelines on the equivalency of the degrees. The doctoral committee may add further requirements. Sec. 3(2)(2) applies mutatis mutandis. In case of doubt, the Central Office for Foreign Education is to be consulted for a statement or recommendation.
- (4) Graduates from universities of applied sciences with a Diplom degree in Agriculture, Agricultural Economy, Landscape Management, Horticulture, or Forestry may be admitted to the doctoral programme if their overall mark in the final Diplom examination was at least 1.3 or better ("very good" or better).

Admission is to be denied if the applicant did not pass a doctorate aptitude test or an equivalent examination at a scientific university.

These regulations apply mutatis mutandis for graduates from universities of cooperative education (*Berufsakademien*) who have successfully completed an education programme with the designation "Diplom" lasting at least three years at a university of cooperative education.

- (5) Applicants who apply according to Sec. 3(4) are to submit a request for the initiation of aptitude test proceedings to the responsible doctoral committee in a timely manner before submitting the request for acceptance as a doctoral candidate according to Sec. 4. Upon the suggestion of the supervisor and after hearing the examination committee responsible for the Agricultural Science Bachelor's and Master's programmes, the doctoral committee determines the coursework and examinations required to prove scientific qualifications. This aptitude test should typically be concluded within 18 months. The doctoral committee determines whether the aptitude test was successfully completed. The Faculty gives the candidate the results in writing. If the application is rejected, Sec. 5(3)(2) applies mutatis mutandis.
- (6) Applicants whose native language is not German must prove sufficient German proficiency. If the doctoral work is done completely or in part in a language other than German, the doctoral committee may instead or in addition require proof of adequate language proficiency in this language. Further details can be found in the implementation provisions.
- (7) The doctoral committee may pass implementation provisions.

#### Section 4 Request for Acceptance as a Doctoral Candidate

- (1) Applicants who fulfil the requirements according to Sec. 3 may request their acceptance as a doctoral candidate with the Faculty in writing or electronically through the website of the University with the inclusion of the intended topic. The complete filled-in and signed print-out of the application has to be submitted together with all required documents according to Sec 2 at the Faculty of Agricultural Sciences. This does not entitle the applicant to a legal claim to the opening of doctoral proceedings.
- (2) The request should include
  - 1. certificates proving the requirements according to Sec. 3 have been met,
  - 2. statement of any preceding or ongoing attempts at obtaining a doctorate,
  - 3. the topic of the intended dissertation and the supervisor's name,
  - 4. a doctoral agreement according to the State Higher Education Act (Landeshochschulgesetz); further details can be found in the implementation provisions.

- 5. a declaration as to whether the doctoral work is to be done in German or English or a request if the doctoral work is to be done in a language other than German or English.
- (3) With the acceptance of a doctoral candidate, the Faculty is obligated to provide scientific supervision. The supervision agreement does not give the candidate to a legal claim to a doctorate. Refer to Sec. 6(3).
- (4) The doctoral candidate is assigned to a professor or university or private lecturer (Hochschuldozentin oder Hochschuldozent, Privatdozentin oder Privatdozent) for scientific supervision.
- (5) The doctorate should be concluded within a period of five years. If the doctorate has not been completed after eight years, the acceptance as a doctoral candidate shall automatically end. The doctoral candidate may submit a new request for admission according to Sec. 2. For admission to the doctorate programme, the applicant must fulfil the requirements of the doctoral degree regulations that are valid at the time of the renewed request for acceptance.
- (6) Those accepted as doctoral candidates may be enrolled for a maximum duration of eight years. Enrolled doctoral candidates have the rights and obligations of students.

#### Section 5 Decision on the Acceptance as a Doctoral Candidate

- (1) If the requirements according to Sec. 3 are fulfilled (typical case), in agreement with the supervisor the doctoral committee accepts the doctoral candidate. In these cases, the doctoral committee may delegate the decision-making authority to the chairperson.
- (2) In the following cases, the doctoral committee must decide on the acceptance of the doctoral candidate in each individual case:
  - a) if the applicant submits a final degree certificate that was not issued by a faculty of agricultural sciences in the Federal Republic of Germany,
  - b) if the applicant proves his or her capabilities according to Sec. 3(2-5),
  - c) if the proof according to Sec. 3(6) is not provided by means of a certificate from a state-recognized institution,
  - d) if the applicant is already part of a doctoral proceeding or previous attempted a doctorate,
  - e) if there are doubts as as to whether the proposed dissertation topic falls within the Faculty's area of competency,
  - f) if there are doubts as to whether the topic is worth being researched or whether the applicant's previous education is appropriate.
- (3) The Faculty notifies the applicant of the admission decision of the doctoral committee in writing.

#### Section 6 Supervision of the Dissertation

- (1) The dissertation may be supervised by any University of Hohenheim professor or university or private lecturer (*Hochschul- oder Privatdozentin oder Hochschul- oder Privatdozent*).
- (2) The dissertation may also be supervised by a professor or university or private lecturer outside of the University of Hohenheim's Faculty of Agricultural Sciences or by a professor from a university of applied sciences or a "Fachhochschule" or Baden-Württemberg university of cooperative education. In these cases, the doctoral committee appoints a professor or university or private lecturer from the University of Hohenheim's Faculty of Agricultural Sciences as a co-supervisor.
- (3) If the supervisor can no longer fulfil his or her duties, after hearing the doctoral candidate, the chairperson of the doctoral committee appoints another professor or university or private lecturer competent in the subject if possible.

#### Section 7 The Dissertation

- (1) The dissertation must be a work from a subject area represented by a professor or a university or private lecturer primarily employed by the Faculty of Agricultural Sciences. It should generally be prepared at the University's institutions. Scientific work which is done at institutions outside of the University will be supervised additionally by a professor or university or private lecturer at the University of Hohenheim.
- (2) The dissertation must make a contribution to scientific progress. It must be the applicant's own work. If the dissertation is based on experiments done in the context of group work, the applicant must have completed his or her contribution independently. The individual work of the applicant must be clearly delimitable and, in terms of the content, equal to a typical dissertation.
- (3) The results of a dissertation may be published in advance in whole or in part. Linked with an introduction and summary discussion, as a cumulative dissertation these publications are equivalent to a typical dissertation. Further details can be found in the implementation provisions to these doctoral degree regulations.
- (4) The retroactive recognition of a publication as a dissertation requires the approval of the doctoral committee and is only permissible in exceptional cases. The work must be equivalent to a dissertation; the publication may not be older than two years. Diplom or state examination theses may not be recognized as dissertations.

### Section 8 Request for the Initiation of the Doctoral Proceedings

- (1) The request for opening of the doctoral proceedings has to be submitted electronically through the Universiy's website (online application) to the Faculty. The complete filled-in and signed print-out of the online application has to be submitted together with all required documents according to Sec. 2 at the Faculty of Agricultural Science. The Faculty forwards the request to the doctoral committee for a decision. In the lecture-free period, the doctoral committee may delegate the initiation of the doctoral proceedings to the Faculty Board.
- (2) The request should include:
  - 1. four typed and bound copies of the dissertation as well as any publications,

- 2. an unencrypted digital text document (as a pdf) for review purposes that is identical in terms of content with the submitted hard copy of the dissertation including a declaration on the digital version pursuant to Annex 3,
- 3. a written affidavit pursuant to Annex 1 as well as a copy of the instructions on the importance and criminal-legal consequences of the affidavit provided by the Faculty and signed by the applicant pursuant to Annex 2 of these doctoral degree regulations. If the dissertation was created in the context of a larger project with the participation of multiple doctoral candidates, the individual work must be clearly shown,
- 4. if previous publications are included in the dissertation, for each of these publications a declaration signed by all authors on their contribution to the publication; further information can be found in the implementation provisions,
- 5. four copies of a CV in table format,
- 6. four copies of a summary of the research question and results of the dissertation of around two DIN A4 pages in length approved and signed by the supervisor,
- 7. a suggestion for which examiners should be chosen,
- 8. a declaration that a request for the initiation of doctoral proceedings is not ongoing and has not been submitted in the past for the dissertation submitted here,
- 9. a recent certificate of good conduct according to the Federal Central Criminal Register Act.
- (4) The request may be withdrawn until the time the first review has been on hand. After the date has been set for the oral examination, the applicant may only withdraw for important reasons.
- (5) The initiation of the proceedings may be denied if circumstances exist that would justify a revocation of the doctorate pursuant to the valid statutory regulations.

# Section 9 The Reviewers

- The dissertation is evaluated by at least two reviewers. These are usually the supervisor and a second reviewer. One of the reviewers, usually the second reviewer, must be external.
  Professors at universities of applied sciences or the Baden-Württemberg cooperative education universities can be appointed as the reviewer or second reviewer.
- (2) The reviewers are to be appointed at the latest in the next meeting of the doctoral committee following the submission of the dissertation. The doctoral committee can delegate the appointment to the Faculty Board.
- (3) If one of the reviewers can no longer fulfil his or her duties, after hearing the doctoral candidate and if possible with the approval of the supervisor, the chairperson of the doctoral committee appoints another professor or university or private lecturer competent in the subject.
- (4) Second reviewers are typically appointed by the doctoral committee from amongst the professors or university or private lecturers upon the suggestion of the supervisor.
- (5) The doctoral candidate is to be informed of the reviewers' names.

### Section 10 Reviewing of the Dissertation

- (1) The chairperson of the doctoral committee immediately forwards the dissertation to the reviewers.
- (2) The reviewers are required to submit a review within a maximum of six weeks after receiving the dissertation. An extension of this deadline is possible with the consent of the chairperson of the doctoral committee. If the deadline has been missed unreasonably, the chairperson of the doctoral committee may appoint another reviewer.
- (3) The review may be written in German or English.
- (4) Each reviewer submits the review to the chairperson of the doctoral committee and requests that the work be accepted, be accepted with certain changes, or be rejected, and suggests a mark from those listed in Sec. 15.
- (5) If all reviewers request the acceptance of the work, the proceedings continue. If all reviewers request the rejection of the work, the proceedings are closed.
- (6) If only one of the reviewers requests the rejection of the work, the chairperson of the doctoral committee typically appoints another reviewer according to Sec. 9(4) to whom the dissertation is then immediately sent. Sec. 10(2) applies mutatis mutandis. If one of the reviewers rejects the dissertation, the chairperson of the doctoral committee appoints an additional reviewer who evaluates the dissertation in the context of the previous reviews. This reviewer provides the Faculty with a final suggestion for the evaluation of the work. If the chairperson of the doctoral committee participated in the proceedings as the reviewer or second reviewer, the vice-chairperson appoints the additional reviewer.
- (7) If two reviewers request the acceptance of the work, the proceedings continue. If two reviewers request the rejection of the work, the proceedings are closed.
- (8) If both reviewers evaluate the dissertation according to Sec. 15(2) as "outstanding", a third review from an additional reviewer is to be requested. For the selection of the third reviewer, Sec. 9(4) applies mutatis mutandis and Sec. 10(2) applies mutatis mutandis for the reviewing deadline.

# Section 11 Continuation of the Proceedings

- (1) If the proceedings are continued, the dissertation is to be posted for two weeks in the Dean's Office for review by the Faculty's professors and university or private lecturers primarily employed by the University of Hohenheim. In the lecture-free period, this deadline increases to 4 weeks. The chairperson of the doctoral committee immediately informs all the Faculty's professors and university or private lecturers primarily employed by the University of Hohenheim of the continuation of the proceedings and includes the summary and the reviews; they have the opportunity to object within the deadline set in Sec. 11(1)(1 or 2).
- (2) Every professor and university or private lecturer primarily employed by the Faculty at the University of Hohenheim has the right to submit a dissenting opinion on the work.
- (3) Immediately after the deadline has passed, the chairperson of the doctoral committee determines whether the dissertation has been accepted. If no dissenting opinion has been submitted, the mark for the dissertation is calculated as the arithmetical mean of the reviewers' suggestions.
- (4) If a dissenting opinion has been submitted, the doctoral committee decides how to proceed.

(5) After the circulation deadline has passed, the chairperson of the doctoral committee shall set the date for the oral examination, immediately notify the candidate of the date, and publicize it within the University. Between the end of the circulation proceedings and the date of the oral examination, no more than three weeks or, in the lecture-free period, no more than six weeks should pass.

#### Section 12 Appointment of the Examination Committee

- (1) The doctoral committee appoints the examination committee in the session following the request submission. At the same time, it determines which of its university lecturing members (professors and university or private lecturers) shall chair the colloquium. This member may not also be an examiner according to Sec. 12(2). The doctoral committee can delegate the appointment to the Faculty Board.
- (2) The doctoral committee appoints as members of the examination committee:
  - a) the reviewer according to Sec. 9; if the reviewer cannot attend, a representative is appointed,
  - b) at least two additional professors or university or private lecturers. One of these members may be suggested by the candidate.
- (3) Professors at universities of applied sciences or the Baden-Württemberg cooperative education universities can be appointed as examiners.
- (4) At most two of the examiners may belong to the same scientific institution.
- (5) There is no legal claim to a certain examiner.

#### Section 13 Oral Examination (Colloquium)

- (1) The oral examination (the colloquium) lasts a maximum of 90 minutes and is in principle open to the public. Upon the applicants' request, the public can be excluded. The date is made public within the University.
- (2) The applicant holds a 30-minute presentation about the dissertation and presents the theses from the dissertation. This is followed by a 30-minute defence between the applicant and members of the examination committee. Following the defence is a discussion lasting approximately 15 minutes that is not evaluated and in which, in addition to the applicant and the examination committee, the public may also take part. The right to question is limited to the members of the Faculty of Agricultural Sciences. The discussion may include other questions if these are related to the dissertation in terms of content or methods.
- (3) The colloquium is led by the member of the doctoral committee chosen for this task.
- (4) Immediately following the colloquium, in a closed meeting the examination committee discusses the performance and sets a mark for the oral examination according to Sec. 15(1). The applicant is notified of the result immediately after the decision has been made.

### Section 14 Repeating the Oral Examination

- (1) If the candidate fails the oral examination, he or she may request to repeat the examination at the earliest after two, at the latest after twelve months.
- (2) A second repetition of the oral examination is not possible.

## Section 15 Marking

(1) The following individual marks are possible:

1 = very good 2 = good 3 = satisfactory 4 = fail

The marks 1.5 and 2.5 are also permissible.

- (2) The dissertation may also be marked "outstanding".
- (3) The mark for the dissertation is calculated as the arithmetical mean of the individual notes from all reviews.
- (4) The overall mark is made up of the mean of the marks for the dissertation (weighted doubly) and the mark for the oral examination (weighted singly).

The overall mark is determined as follows:

1.0	-	1.5	=	magna cum laude
1.51	-	2.50	=	cum laude
2.51	-	3.0	=	rite

(5) If the dissertation was evaluated as "outstanding" by all reviewers and if the oral examination was very good (1.0), the honour "summa cum laude" is given.

## Section 16 Result of the Doctorate

- (1) Immediately after the conclusion of the oral examination, the examination committee determines the total result of the doctorate.
- (2) The candidate cannot receive the doctoral degree if the oral examination is not passed.
- (3) The chairperson of the colloquium immediately informs the candidate of the overall result and issues a preliminary certificate of the concluded doctoral proceedings.

#### Section 17 Publication of the Dissertation

- (1) The doctoral candidate must ensure that the dissertation is published. The following publication options are permissible:
  - 1. Publication in a commercial publishing house which takes on the distribution in bookstores and confirms or guarantees a minimum circulation of 150 copies and that the publication may be purchased for at least five years through bookstores. Three copies are

to be turned in to the library of the University of Hohenheim's Communication, Information, and Media Centre (KIM). On the back side of the title page, the ISBN (International Standard Book Number) and the abbreviation "D100" as a sign that it is a Hohenheim dissertation must be noted.

- 2. Publication as a book or photo print; 30 copies are to be submitted to the KIM Library.
- 3. Publication in electronic form on the University of Hohenheim's publication server; the KIM Library determines the file format and the data carrier type for the electronic version. In addition, five copies of the entire dissertation in paper form as a book or photo-print are to be submitted to the KIM Library.
- 4. Publication in a scientific journal; two additional copies are to be submitted to the KIM Library.

In the case of a cumulative dissertation according to Sec. 7(3), publication according to Sec. 17(1)(1, 2, and 3) is possible. You can find additional information on the KIM homepage.

- (2) The copies to be submitted must be printed on non-ageing wood-free and acid-free paper in a permanent binding. On the back side of the title page, the date of the acceptance of the written work (dissertation), the date of the oral examination, and the name of the Dean and the reviewers are to be included.
- (3) In the cases described in Sec. 17(1)(3), the doctoral candidate gives the University the right to save this form of dissertation in the context of its legal duties and to make it publicly available on data networks. The doctoral candidate must declare in writing to the KIM Library that the form and content of the electronic version is the same as the approved version of the dissertation.
- (4) The publication is to be done within one year after the conclusion of the oral examination. Within this deadline, the required copies, special copies, and data carriers in Sec. 17(1) are to be submitted free of charge to the KIM Library. The chairperson of the doctoral committee may extend the deadline. If the deadline is passed, all rights obtained in the doctoral proceedings are negated unless the doctoral candidate is not at fault for the reason the deadline was missed.

# Section 18 Certificate and Use of the Doctorate Title

After proof that the dissertation has been published, the Faculty issues a certificate. This certificate includes the overall results, the title of the dissertation and, insofar as it is required by Sec. 1(2)(3), a note on the language in which the doctoral work was done. The certificate is issued in German; an English translation is included. Upon request, the certificate can also be issued in English; in this case, a German translation is included. The date of the degree is given as the day of the final examination. The certificate is signed by the University of Hohenheim's President and the respective Dean, and the seal of the University of Hohenheim is placed on it. Only after the certificate is received may the doctoral candidate use the doctoral degree title.

# Section 19 Honorary Doctorate

(1) In recognition of special achievements in science, the University of Hohenheim's Faculty of Agricultural Sciences may award the degree "Honorary Doctor of Agricultural Sciences" (Dr. sc. agr. honoris causa). Persons may also be awarded an Honorary Doctor of Agricultural

Sciences if they have had a long-term commitment with the University of Hohenheim, in particular with the Faculty of Agricultural Sciences, and made special contributions to scientific research.

- (2) Such a request is to be submitted by at least five professors to the Dean. The request is to be sent to every professor and university or private lecturer primarily employed by the Faculty at the University of Hohenheim with reasons. The professors and university or private lecturers in the Faculty Council decide on the request together with the Senate.
- (3) The honorary doctorate is awarded in a ceremony by the Dean by handing over the doctoral certificate issued for this purpose. The certificate is signed by the President of the University of Hohenheim and the Dean.

#### Section 20 Joint PhD

- (1) Upon request by the applicant and the supervisor to the Dean, a joint PhD with a partner university or comparable institution may be awarded.
- (2) The request is to be submitted together with the request for acceptance as a doctoral candidate, at the latest six months after acceptance.
- (3) The doctoral committee decides on the request. It decides which documents and proof are to be submitted by the applicant and prepares a cooperation agreement for the partner institution. A positive decision on the request is only possible after such a cooperation agreement has been signed.

#### Section 21 Invalidity of the Doctoral Work and Revocation of the Doctoral Degree

- (1) If it is revealed before the doctoral certificate has been issued that the candidate was guilty of deception in the doctoral work or that key requirements for the admission to doctoral work were incorrectly assumed to have been present, the doctorate shall be declared invalid.
- (2) The doctoral degree can be revoked by the doctoral committee if it is later shown that it was received due to deception. In other respects, the statutory regulations apply.
- (3) Before the doctoral committee decides to declare the doctorate invalid and to revoke the doctoral degree, the affected person is to be given the opportunity to make a statement regarding the accusations.
- (4) The decision of the doctoral committee according to Sec. 21(1 and 2) is to be reasoned and sent to the affected person together with information on legal remedies.

#### Section 22 Inspection of Files

Upon request, the applicants may be allowed to inspect the files of the proceedings after they have been concluded. The request for inspection must be submitted in writing within one year after the conclusion of the proceedings to the Dean of the Faculty of Agricultural Sciences. Sec. 29 of the State Administrative Procedure Act (LVwVfG) applies to the right to inspect files.

# Section 23 Entry into Force and Transitional Provision

- (1) These doctoral degree regulations took effect as of 1 April 2013. The 1st statute to amend the regulations takes effect on 13 February 2015.
- (2) The regulations for the transition period can be read in University of Hohenheim Official Notification No. 883 on the doctoral degree regulations or the 1st statute to amend the regulations (University of Hohenheim Official Notification No. 1012).

# Annex 1

# Affidavit

# pursuant to Sec. 8(2) of the University of Hohenheim's doctoral degree regulations for Dr.sc.agr.

1. For the dissertation submitted on the topic

.....

I hereby declare that I independently completed the work.

- 2. I only used the sources and aids documented and only made use of permissible assistance by third parties. In particular, I properly documented any contents which I used either by directly quoting or paraphrasing from other works.
- 3. I did not accept any assistance from a commercial doctoral agency or consulting firm.
- 4. I am aware of the meaning of this affidavit and the criminal penalties of an incorrect or incomplete affidavit.

I hereby confirm the correctness of the above declaration. I hereby affirm in lieu of oath that I have, to the best of my knowledge, declared nothing but the truth and have not omitted any information.

Place and Date

Signature

# Annex 2

# Affidavit

## Information

The University of Hohenheim requires an affidavit declaring that the academic work was done independently in order to credibly claim that the doctoral candidate independently completed the academic work.

Because the legislative authorities place particular importance on affidavits, and because affidavits can have serious consequences, the legislative authorities have placed criminal penalties on the issuance of a false affidavit. In the case of wilful (that is, with the knowledge of the person issuing the affidavit) issuance of a false affidavit, the criminal penalty includes a term of imprisonment for up to three years or a fine.

A negligent issuance (that is, an issuance although you should have known that the affidavit was false) is punishable by a term of imprisonment for up to one year or a fine.

The respective regulations can be found in Sec. 156 StGB (Criminal Code) (false affidavit) and in Sec. 161 StGB (negligent false oath, negligent false affidavit).

## Sec. 156 StGB: False Affidavit

Issuing a false affidavit to an authority body responsible for accepting affidavits or perjury under reference to such an affidavit shall be punishable with a term of imprisonment up to three years or with a fine.

Sec. 161 StGB: Negligent False Oath, Negligent False Affidavit:

Subsection 1: If one of the actions described in Secs. 154 and 156 is done negligently, the action shall be punishable by a term of imprisonment of up to one year or a fine.

Subsection 2: Impunity shall apply if the perpetrator corrects the false information in a timely manner. The regulations in Sec. 158 (2) and (3) apply mutatis mutandis.

I have taken note of the information on the affidavit.

Place and Date

Signature

# Annex 3

## Declaration on the Digital Version of the Dissertation According to Sec. 8(2)

Place and Date

Signature